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# A Method of Diatomics in Molecules. I. General Theory and Application to $H_2O^1$

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An approximate theory is presented in which the electronic structure of a polyatomic molecule is expressed in terms of electronic structures for all possible diatomic and monatomic fragments which compose it. The primary purposes of the theory are: (1) to predict stabilities of polyatomic molecules; (2) to provide understanding of deviations from strict additivity of bond energies; and (3) to give semiempirical explanation for nonbonded interactions. The general approach bears a strong resemblance to the well-known Slater-Eyring semiempirical valence-bond method, except that in the new theory there appear no calibration parameters, no exchange integrals, and no coulomb integrals, but only overlap integrals and experimental diatomic and monatomic energies. Problems involving multiple exchanges do not occur in the new treatment. An application to the right-angled conformation of  $H_2O$  gives an energy of atomization in essential agreement with experiment. For  $H_2O$ , the O-H and H-H bond energies are calculated to be 120.4 and 11.2 kcal., respectively, and the O-atom promotional energy is 21.0 kcal. These may be compared with the calculated O-H bond energy 118 kcal. and O-atom promotional energy 11 kcal. for the OH radical.

## Introduction

One main obstacle on the road to predicting quantum mechanically and interpreting molecular atomization energies is the profound effect of electron correlation, especially of the intraatomic kind, on total electronic energy. Really theoretical accounting of this correlation energy requires a very large set of configurational wave functions in the conventional variational calculation. In 1951, Moffitt proposed a possible way to allow for intraatomic correlation in molecular calculations by admitting experimental energies of atomic and ionic states in a particular way; this new approach was called the atoms-in-molecules (AIM) method.<sup>2</sup>

In the AIM method, eigenfunctions for electronic states of the separated atoms are used to construct the molecular basis wave functions. Alternatively, a polyatomic molecule might be visualized as formed by interaction of diatomic molecules (and at least one atom, for the odd-atom case). Since atoms probably are perturbed more than diatomics on polyatomic molecule formation, an immediate and conceivably improved extension of the AIM method would be one which employed electronic states of separated diatomics (and those of one atom, if necessary) for construction of the unperturbed wave functions. This particular approach to polyatomic structure is, however, *not* the one to be developed in this paper.

In the next section we describe a theory in which the electronic structure of a polyatomic molecule is represented conventionally by a resonance of valence-bond structures. The total hamiltonian operator is cast into a form which contains only hamiltonians for all possible diatomic and monatomic fragments in the polyatomic, but no interaction operators. If good valence-bond approximations of the diatomic and monatomic ground and excited state eigenfunctions are available, it becomes possible to evaluate the general energy matrix for the polyatomic in terms of the overlap matrix (for the starting canonical set of polyatomic structures) and experimental diatomic and monatomic energies. The general approach bears a strong resemblance to the well-known semiempirical valence-bond method,<sup>3</sup> except that in the new theory there appear no exchange integrals, no coulomb integrals, and no calibration parameters.

In the next section, also, it is shown that diatomicsin-molecules theory leads straightforwardly to a natural

(1) Supported in part by a grant from the National Science Foundation. Paper presented at the 144th National Meeting of the American Chemical Society, Los Angeles, Calif., April 5, 1963.

(2) W. Moffitt, Proc. Roy. Soc. (London), A210, 245 (1951).

(3) H. Eyring and M. Polanyi, Z. physik. Chem., B12, 279 (1931); J. O.
 Hirschfelder, H. Eyring, and B. Topley, J. Chem. Phys., 4, 170 (1938);
 J. C. Slater, Phys. Rev., 38, 1109 (1931); J. H. Van Vleck and P. C. Cross,
 J. Chem. Phys., 1, 357 (1934); H. Voge, ibid., 4, 581 (1936).

expression for the atomization energy of a polyatomic as a sum over *all* bond energies less the total atomic promotional energy.

The theory is applied to right-angled  $H_2O$  in the last section.

## Theory

**General**.—We begin by considering valence-bond structure wave functions for a given polyatomic system

$$\Psi_{n} = \sum b_{nr} D_{r} \tag{1}$$

The  $D_r$  represent determinantal wave functions (antisymmetrized products of atomic spin orbitals), and the  $b_{nr}$  are coefficients chosen so that (1)  $\Psi_n$  is antisymmetric with respect to interchange of spin factors of orbitals forming an electron-pair, (2)  $\Psi_n$  is the proper eigenfunction of  $S^2$  (square of total spin angular momentum) and  $S_z$  (component of S upon z-axis), and (3)  $\Psi_n$ is normalized for infinite separation of the atoms.<sup>4</sup>

We suppose that a polyatomic molecule can be represented by a resonance of two or more valence-bond canonical structures  $\Psi_n$  like eq. 1.

$$\Psi = \Sigma a_{n} \Psi_{n} \tag{2}$$

In order to determine the optimum coefficients  $a_n$  for which the expectation value of the molecular energy W is minimized,<sup>5</sup> one must first solve the secular determinant  $|H_{nm} - S_{nm}W| = 0$ , in which

$$m = \int \Psi_n \Psi_m d\tau, H_{nm} = \int \Psi_n H \Psi_m d\tau$$
 (3)

We may write  $\Psi_{\rm m} = A \psi_{\rm m}$ , where A is the total antisymmetrization operator and  $\psi_{\rm m}$  is a linear combination of *simple products* of atomic spin orbitals; we shall refer to  $\psi_{\rm m}$  as the *primitive function* for the *m*th structure.<sup>6</sup> Now, a typical energy matrix element can be written

$$H_{nm} = \int \Psi_n A H \psi_m d\tau \tag{4}$$

since the operators H and A commute.

We next consider a general atoms-in-molecules expression of the total Hamiltonian operator for the polyatomic molecule

$$H = \sum_{\mathbf{P}} H_{\mathbf{P}} + \sum_{\mathbf{P}} \sum_{\mathbf{Q} > \mathbf{P}} V_{\mathbf{PQ}}$$
(5)

Each  $H_P$  contains all kinetic energy operators and all intraatomic potential energy terms in H which depend

(4) All conditions but the first are optional in valence-bond theory; condition 2 simplifies calculations significantly.

(5) The functions  $\Psi_n$  depend parametrically upon the relative positions of the nuclei under the Born-Oppenheimer approximation; W is minimized for fixed positions of the nuclei.

<sup>(6)</sup> Electrons are assigned to the atomic spin orbitals in a serial manner: electrons 1 to  $n_A$  to atomic spin orbitals associated with atom A, the next set of electrons to atom B, etc. Such electron assignments may differ from one primitive function to another because of different ionicities of the associated valence-bond structures.

exclusively upon the coordinates of electrons assigned originally to atom P and not upon the coordinates of any nuclei other than nucleus P;  $V_{PQ}$  contains all interatomic interaction potential energy terms in H depending upon electron and nuclear coordinates common to atom P and atom Q only. There are, of course, many different ways to partition H in this way depending upon the original assignment of electron numbers to the various atoms. The atoms-in-molecules form of H will be determined in each particular energy matrix element  $H_{nm}$  by the assignment of electrons within the primitive function  $\psi_{m}$  upon which H is set to operate (eq. 4 and footnote 6).

The hamiltonian  $H_{PQ}$  for a *diatomic* molecule PQ, again written in its atoms-in-molecules form, is

$$H_{\mathbf{P}\mathbf{Q}} = H_{\mathbf{P}} + H_{\mathbf{Q}} + V_{\mathbf{P}\mathbf{Q}} \tag{6}$$

If this expression is solved for  $V_{PQ}$  and then substituted into eq. 5, we obtain

$$H = \Sigma H_{\rm P} + \sum_{\rm P} \sum_{\rm Q < P} (H_{\rm PQ} - H_{\rm P} - H_{\rm Q})$$
(7)

$$= \sum_{\mathbf{P}} \sum_{\mathbf{Q} > \mathbf{P}} H_{\mathbf{P}\mathbf{Q}} - (N-2) \sum_{\mathbf{P}} H_{\mathbf{P}}$$
(8)

where N is the total number of atoms in the polyatomic. We shall refer to each of these forms of H as the diatomics-in-molecules (DIM) Hamiltonian; they contain explicitly no "interaction" operators but only hamiltonians for the constituent diatomic molecules and atoms. The total energy of a polyatomic is expressed as the sum of energies of all possible diatomic fragments (for each fragment, the energy of "both atoms in the fragment" as well as their interaction energy is implicit) minus the sum of atom energies as many times as necessary to correct for their multiple inclusion in the diatomic fragment energy sum.

If eq. 8 is substituted into eq. 4, we obtain

$$H_{nm} = \sum_{P} \sum_{Q > P} H_{nm}{}^{PQ} - (N - 2) \sum_{P} H_{nm}{}^{P} \qquad (9)$$

where

$$H_{nm}{}^{PQ} = \int \Psi_n A H_{PQ} \psi_m d\tau \qquad (10)$$

$$H_{nm}^{P} = \int \Psi_{n} A H_{P} \psi_{m} d\tau \qquad (11)$$

For n = m,  $H_{nn}^{PQ}/S_{nn}$  may be regarded as the valence state energy of the diatomic molecule PQ in the polyatomic molecule as described by the single valence-bond structure  $\Psi_n$ ;  $H_{nn}^P/S_{nn}$  is the valence state energy of the atom P in the polyatomic as described by structure  $\Psi_n$ .<sup>7.8</sup>

It must be noted at this point that the matrices<sup>9</sup>  $\langle H^{\mathrm{PQ}} \rangle$  and  $\langle H^{\mathrm{P}} \rangle$  are not separately hermitian, and indeed are not fully independent. Since  $\langle H \rangle$  is hermitian,  $\langle H \rangle = \langle H \rangle^{+}$ , and thus

$$\sum_{\mathbf{P}} \sum_{\mathbf{Q} > \mathbf{P}} [(H^{\mathbf{P}\mathbf{Q}}) - (H^{\mathbf{P}\mathbf{Q}})^{+}] - (N - 2) \sum_{\mathbf{P}} (H^{\mathbf{P}}) - (H^{\mathbf{P}})^{+}] = 0 \quad (12)$$

We may rewrite eq. 9 in the form

$$H_{nm} = \sum_{P} \sum_{Q > P} \vec{H}_{nm}{}^{PQ} - (N-2) \sum_{P} \vec{H}_{nm}{}^{P} \quad (13)$$

where

$$\bar{H}_{nm}^{PQ} = 1/_2 (H_{nm}^{PQ} + H_{mn}^{PQ})$$
 (14)

$$\tilde{H}_{nm}{}^{\mathrm{P}} = {}^{1}/{}_{2}(H_{nm}{}^{\mathrm{P}} + H_{mn}{}^{\mathrm{P}})$$
(15)

There is now no necessary relation between the elements of what we may call the diatomic valence state energy matrices  $\langle \tilde{H}^{PQ} \rangle$  and atomic valence state energy matrices  $\langle \tilde{H}^{P} \rangle$ . Both are hermitian, and both are defined uniquely in any representation which

(7) A. L. Companion and F. O. Ellison, J. Chem. Phys., 28, 1 (1958).

may be constructed linearly from our set of canonical valence-bond structures  $\Psi_{n}$ .<sup>10</sup>

Equation 13 is fundamental in the method of diatomics in molecules. We have partitioned the general energy matrix element  $H_{nm}$  into parts corresponding to independent contributions from each diatomic and monatomic fragment. No approximations have been made thus far in the theory. Any error resulting in applications of this first portion of the method can be attributed to the use of a limited set of canonical structures (eq. 1 and 2); this restriction is common to nearly all theories of electronic structure.

In the next section, we show how the diatomic and monatomic contributions  $(H_{nm}{}^{PQ} \text{ and } H_{nm}{}^{P})$  to the matrix elements  $H_{nm}$  may be evaluated in terms of overlap integrals  $S_{nm}$  between polyatomic structures and experimental energies of the diatomic and monatomic fragments.

**Evaluation of the**  $H_{nm}^{PQ}$ .—We first consider a partitioning of the total antisymmetrization operator A

$$A = A_{PQ}^{(PQ)} A_{PQ} A_{(PQ)}$$
(16)

The operator  $A_{(PQ)}$  antisymmetrizes that set of electrons which are not originally assigned to the diatomic fragment PQ,  $A_{PQ}$  antisymmetrizes that set of electrons which *are* originally assigned to PQ, and  $A_{PQ}^{(PQ)}$  is the partial or "supplementary" antisymmetrizer which complete the identity. The assignment of electrons to the two sets, belonging to and not belonging to the diatomic fragment PQ, is specified by the assignment of electrons<sup>6</sup> within the primitive function  $\psi_{\rm m}$ in front of which A stands in the integrand of eq. 10. If now eq. 16 is substituted into eq. 10, we find that

$$H_{\rm nm}{}^{\rm PQ} = \int \Psi_{\rm n} A_{\rm PQ}{}^{(\rm PQ)} H_{\rm PQ} A_{\rm PQ} A_{\rm (PQ)} \psi_{\rm m} d\tau \qquad (17)$$

since  $H_{PQ}$  commutes with  $A_{(PQ)}$  and with  $A_{PQ}$ .

It is recalled that the primitive function  $\psi_{\rm m}$  is a linear combination of simple products of atomic spin orbitals

$$\psi_{\rm m} = \sum b_{\rm mr} d_{\rm r} \tag{18}$$

 $D_r = Ad_r$  (cf. eq. 1). Each simple product  $d_r$  may be written as a product  $d_r^{PQ}d_r^{(PQ)}$ , the first factor containing all atomic spin orbitals associated with the diatomic fragment PQ only, the second factor containing all other atomic spin orbitals. The right half of the integrand in eq. 17 thus can be written

$$A_{PQ}A_{(PQ)}\psi_{m} = \sum_{r} b_{mr}D_{r}^{PQ}D_{r}^{(PQ)}$$
(19)

since  $A_{PQ}A_{(PQ)}d_r^{PQ}d_r^{(PQ)} = D_r^{PQ}D_r^{(PQ)}$ ; the latter two factors are determinantal functions totally antisymmetric with respect to those electrons in the set belonging to and not belonging to the diatomic fragment PQ, respectively.

Suppose that valence-bond approximations of the ground and excited states of the diatomic molecule PQ are available

$$\Psi_{\rm s}^{\rm PQ} = \sum_{\rm r} c_{\rm sr} D_{\rm r}^{\rm PQ} \tag{20}$$

If the optimum coefficients  $c_{sr}$  in these expansions of the eigenfunctions  $\Psi_s^{PQ}$  have been determined, then the inverse of eq. 20

$$D_{\mathbf{r}}^{\mathbf{PQ}} = \sum_{\mathbf{s}} (c^{-1})_{\mathbf{rs}} \Psi_{\mathbf{s}}^{\mathbf{PQ}}$$
(21)

should be good approximations of the determinantal functions in terms of eigenfunctions. If eq. 21 is substituted into 19 and then operated upon from the left by the diatomic Hamiltonian  $H_{PQ}$ , we obtain

<sup>(8)</sup> F. O. Ellison, *ibid.*, **36**, 3107 (1962). (9) The matrix  $\langle H^{PQ} \rangle$ , for example, is that matrix the elements of which are  $H_{nm}^{PQ}$ .

<sup>(10)</sup> Cf. eq. 6.9-6.10 in ref. 2.

$$H_{PQ}A_{PQ}A_{(PQ)}\psi_{m} = \sum_{r} \sum_{s} b_{mr}(c^{-1})_{re}E_{e}^{PQ}\Psi_{s}^{PQ}D_{r}^{(PQ)}$$
(22)  
$$= \sum_{r} E_{s}^{PQ}\Psi_{s}^{PQ}\sum_{r} b_{mr}(c^{-1})_{rs}D_{r}^{(PQ)}$$
(23)

Equation 20 (with t replacing r) is now used to transform back to the determinantal wave function basis  $H_{PQ}A_{PQ}A_{PQ}\psi_{m} =$ 

$$\sum_{\mathbf{s}} E_{\mathbf{s}}^{\mathbf{PQ}} \sum_{\mathbf{t}} \sum_{\mathbf{r}} c_{\mathbf{s}\mathbf{t}} b_{\mathbf{m}\mathbf{r}} (c^{-1})_{\mathbf{r}\mathbf{s}} D_{\mathbf{t}}^{\mathbf{PQ}} D_{\mathbf{r}}^{(\mathbf{PQ})} \quad (24)$$

The supplementary antisymmetrizer  $A_{PQ}^{(PQ)}$  may then be applied to generate new determinants antisymmetric in all electrons belonging to the polyatomic

$$A_{PQ}^{(PQ)}H_{PQ}A_{PQ}A_{(PQ)}\psi_{m} = \sum_{s} E_{s}^{PQ} \sum_{u} g_{su}D_{u} \qquad (25)$$

in this expression, the double sum over t and r has been accumulated into a single sum over independent determinants  $D_{u}$ .

If one begins with a *canonical set* of structures  $\Psi_n$  for the polyatomic molecule, the inverse of eq. 1 will yield equations for each  $D_u$  in terms of canonical structure wave functions<sup>11</sup>

$$D_{\rm u} = \sum_{\rm v} (b^{-1})_{\rm uv} \Psi_{\rm v}$$
 (26)

Introducing this into eq. 25 gives

$$A_{PQ}{}^{(PQ)}H_{PQ}A_{PQ}A_{(PQ)}\psi_{\mathfrak{m}} = \sum_{\mathfrak{s}} E_{\mathfrak{s}}{}^{PQ} \sum_{\mathfrak{w}} h_{\mathfrak{sw}}\Psi_{\mathfrak{w}} \quad (27)$$

where again we have accumulated the double sum over u and v into a single sum over independent  $\Psi_{w}$ . Putting eq. 27 into 17 gives

$$H_{\rm nm}{}^{\rm PQ} = \sum_{\rm s} E_{\rm s}{}^{\rm PQ} \sum_{\rm w} h_{\rm sw} S_{\rm nw}$$
(28)

The integrals  $S_{nw}$  are simply overlap integrals between valence-bond structures as defined in eq. 3.

The energy integrals  $H_{nm}^{P}$  appearing in eq. 15 may be expressed in a form similar to eq. 28

$$H_{\rm nm}^{\rm P} = \sum_{\rm s} E_{\rm s}^{\rm P} \sum_{\rm w} h_{\rm sw} S_{\rm nw}$$
(29)

in which the  $E_s^P$  are experimental energies for electronic states of the atom P; the coefficients  $h_{sw}$  in eq. 28 and 29 will be different, of course.

Equations 28 and 29 are fundamental, in addition to eq. 13-15, in the method of diatomics in molecules. Used together, the general energy matrix element  $H_{nm}$ may be evaluated in terms of overlap integrals  $S_{nw}$ and experimental energies of diatomic and monatomic fragments which comprise the given polyatomic.

In practice, eq. 20 will be available only in approximation. Use of the inverse of eq. 20 in 22 followed by direct introduction of eq. 20 into 23 suggests a partial cancellation of concomitant errors, and thus a possibly effective way to bridge the gap between polyatomic structure and one- and two-atom structures using valence-bond theory.

The fundamental success of the theory depends, therefore, upon how well actual ground and excited

(11) Actually, the inverse of eq. 1 will not exist in general since the matrix b is not square for a canonical set of structures  $\Psi_{\rm n}$ . If, however, the set  $\Psi_{\rm n}$  is extended to include all possible structures (for a given basis set of valence atomic orbitals) having the same eigenvalue for  $S_x$  but all possible eigenvalues of  $S^2$ , there will be as many  $\Psi_{\rm n}$  as  $D_{\rm r}$ , and  $b^{-1}$  will exist (e.g., see H. Eyring, J. Walter, and G. Kimball, "Quantum Chemistry," John Wiley and Sons, Inc., New York, N.Y., 1944, pp. 232-235). Suppose that the operator  $S^2$  is applied to both sides of eq. 27 from the left. The left-hand side is an eigenfunction of  $S^2$  with eigenvalue  $S_{\rm m}(S_{\rm m} + 1)h^2$ ; each term of the right-hand side is individually an eigenfunction of  $S^2$  with eigenvalue  $S_{\rm w}(S_{\rm w} + 1)h^2$ . In order that the resulting equation be valid for all possible values of the electron coordinates, it is necessary that  $h_{\rm Sw} = 0$  unless  $S_{\rm w} = S_{\rm m}$ . Therfore, the only valence-bond structures which will enter eq. 27 are those which belong to the original canonical set of valence-bond structures. In practice, we have not employed eq. 26, but rather have transformed from 25 to 27 by inspection using the original eq. 1.

states are represented by limited sets of valence-bond structures and how well valence-bond structures are represented by limited sets of actual ground *and excited* states. The derivative success of the theory depends upon how well the errors in the former representation cancel the errors in the latter representation. All errors will thus be contained in the use of a finite eq. 2 and in the adequacy of eq. 20 and 21; no integral approximations are introduced or needed in the entire theory.

Bond and Promotional Energies.—The total energy W of a polyatomic molecule represented by a resonance of two or more structures can be expressed

$$W = \sum_{\mathbf{n}} \sum_{\mathbf{m}} a_{\mathbf{n}} a_{\mathbf{m}} \overline{H}_{\mathbf{n}\mathbf{m}}$$
(30)

in which the  $a_n$  are optimum coefficients chosen so that W is minimized and so that  $\Psi$  is normalized. If the DIM Hamiltonian operator in the form of eq. 7 is used to partition the  $H_{nm}$ , we find that

$$W = \sum_{\mathbf{P}} W_{\mathbf{P}} - \sum_{\mathbf{P}} \sum_{\mathbf{Q} > \mathbf{P}} B_{\mathbf{P}\mathbf{Q}}$$
(31)

where

$$W_{\rm P} = \sum_{\rm n} \sum_{\rm m} a_{\rm n} a_{\rm m} \bar{H}_{\rm nm}^{\rm P} \tag{32}$$

$$B_{PQ} = \sum_{n} \sum_{m} a_{n} a_{m} (\vec{H}_{nm}{}^{P} + \vec{H}_{nm}{}^{Q} - \vec{H}_{nm}{}^{PQ}) \qquad (33)$$

The first sum in eq. 31 is the total valence state energy of the atoms in the molecule as represented by the function  $\Psi$ ;  $B_{PQ}$  may be interpreted as the valence state energy of the diatomic fragment PQ in the molecule relative to the energies of atoms P and Q in their appropriate valence states. It will be positive if the valence state energy of the diatomic PQ is less than the total valence state energy of atoms P and Q in the molecule;  $B_{PQ}$  may be defined as the bond energy of PQ in the polyatomic.

An alternative form of eq. 31 is

$$\Delta E_{\rm at} = \sum_{\rm P} W_{\rm P}^{\circ} - W$$
$$= \sum_{\rm P} \sum_{\rm O, > P} B_{\rm PQ} - \sum_{\rm P} P_{\rm P} \qquad (34)$$

where  $\Delta E_{\rm at}$  is the energy of atomization of the polyatomic (for specified relative positions of the nuclei<sup>5</sup>),  $W_{\rm P}^{\circ}$  is the ground-state energy of atom P, and  $P_{\rm P} = W_{\rm P} - W_{\rm P}^{\circ}$  is the promotional energy of atom P in the molecule. Subtracting the total zero-point energy of the molecule from  $\Delta E_{\rm at}$  gives the experimental energy of atomization  $\Delta E_{\rm at}^{\circ}$ .

Equation 34 thus provides us with a rigorous expression for the atomization energy of a polyatomic molecule as a sum of bond energies (over bonds and nonbonds) less the atomic promotional energy.

## Application to Right-Angled H<sub>2</sub>O

**Theory**.—We shall describe here a simple application to right-angled  $H_2O$  in which valence-bond wave functions corresponding to the two canonical structures



are considered. We denote the 1s orbitals on the two hydrogen atoms by  $h_1$  and  $h_2$ , the 1s and 2s orbitals on oxygen by k and s, and select axes so that the 2pz = zoxygen orbital is directed toward  $h_2$  and the 2py = yorbital is directed toward  $h_1$ ; the 2px = x orbital is perpendicular to the molecular plane. All determinants in the valence bond wave functions  $\Psi_1$  and  $\Psi_2$ 

$$\Psi = \frac{1}{2} \left[ |\alpha \alpha \beta \beta| - |\alpha \beta \beta \alpha| - |\beta \alpha \alpha \beta| + |\beta \beta \alpha \alpha| \right]$$
  
=  $\frac{1}{2} (D_1 - D_2 - D_3 + D_4)$  (35)  
$$\Psi_2 = \frac{1}{2} \left[ |\alpha \beta \alpha \beta| - |\alpha \beta \beta \beta \alpha| - |\beta \alpha \alpha \beta| + |\beta \alpha \beta \alpha| \right]$$

$${}_{2} = {}^{1}/{}_{2}[|\alpha\beta\alpha\beta| - |\alpha\beta\beta\alpha| - |\beta\alpha\alpha\beta| + |\beta\alpha\beta\alpha|]$$
  
=  ${}^{1}/{}_{2}(D_{b} - D_{2} - D_{3} + D_{6})$  (36)

where we have indicated the spins of  $yzh_1h_2$  only. The DIM Hamiltonian can be written

$$H = H_{01}^{0} + H_{02}^{0} + H_{12}^{0} - H_{0}^{0} - H_{1}^{0} - H_{2}^{0}$$
(37)

where 0 refers to oxygen, 1 and 2 to the hydrogen. The following approximate valence-bond functions for  $H_2$  and OH were utilized

$$\begin{split} \Psi_{1}^{\text{HH}} &= 2^{-1/2} (|h_{1}\bar{h}_{2}| - |\bar{h}_{1}h_{2}|) \\ \Psi_{2}^{\text{HH}} &= 2^{-1/2} (|h_{1}\bar{h}_{2}| - |\bar{h}_{1}h_{2}|) \\ \Psi_{3}^{\text{HH}} &= |h_{1}h_{2}|, \Psi_{4}^{\text{HH}} &= |\bar{h}_{1}\bar{h}_{2}| \\ \Psi_{1}^{\text{OH}} &= 2^{-1/2} (|\pi_{+}z\bar{h}| - |\pi_{+}z\bar{h}|) \\ \Psi_{2}^{\text{OH}} &= 6^{-1/2} (|\pi_{+}z\bar{h}| + |\pi_{+}z\bar{h}| - 2|\bar{\pi}_{+}zh|) \end{split}$$
(38)

Bars over orbitals denote  $\beta$ -spin, no bar denotes  $\alpha$ -spin. The spin orbitals  $k \hbar s \bar{s} \pi \pi$ , common to all of the OH determinants, have been omitted in writing eq. 38.

Applying eq. 18–27 to  $\psi_1$  and  $\psi_2$ , we obtain the following expressions [in which the notation is simplified by letting  $\Re_{PQ} = A_{PQ}^{(PQ)} H_{PQ} A_{PQ} A_{(PQ)}$ ]

$$\begin{aligned} & \mathfrak{K}_{01}\psi_{1} = E_{OH}\Psi_{1} \\ & \mathfrak{K}_{01}\psi_{2} = {}^{1}/{}_{2}(E_{OH} - E_{OH}^{*})\Psi_{1} + E_{OH}^{*}\Psi_{2} \\ & \mathfrak{K}_{12}\psi_{1} = E_{HH}^{*}\Psi_{1} + {}^{1}/{}_{2}(E_{HH} - E_{HH}^{*})\Psi_{2} \\ & \mathfrak{K}_{12}\psi_{2} = E_{HH}\Psi_{2} \\ & \mathfrak{K}_{0}\psi_{1} = E_{0}\Psi_{1} + {}^{1}/{}_{2}(E_{0}^{*} - E_{0})\Psi_{2} \\ & \mathfrak{K}_{0}\psi_{2} = E_{0}^{*}\Psi_{2} \\ & \mathfrak{K}_{1}\psi_{1} = E_{H}\Psi_{1}, \ \mathfrak{K}_{1}\psi_{2} = E_{H}\Psi_{2} \end{aligned}$$
(39)

The eigenvalues appearing here are identified with molecular and atomic electronic states in Table I. Because of symmetry,  $\mathcal{K}_{02}\psi_n$  gives results identical with  $\mathcal{K}_{01}\psi_n$ , and  $\mathcal{K}_2\psi_n$  gives results identical with  $\mathcal{K}_1\psi_n$ .

TABLE I DIATOMIC AND MONATOMIC ENERGIES USED IN H<sub>2</sub>O

Childeebhillions					
Eigenvalue	Eigenstate	Energy, kcal.			
$E_{\mathrm{OH}}$	X $^{2}\pi$ , OH	$-107^{a}$			
$E_{OH}*$	A ${}^{2}\pi$ , OH	$45^b$			
$E_{\mathtt{H}\mathtt{H}}$	X ${}^{1}\Sigma_{g}^{+}$ , H <sub>2</sub>	$-56^{\circ}$			
$E_{\mathtt{H}\mathtt{H}}^*$	a ${}^{3}\Sigma_{u}$ +, H <sub>2</sub>	$28^{c}$			
$E_{ m O}$	p4 3P, O	0			
$E_0^*$	p <sup>4</sup> <sup>1</sup> D, O	$45^a$			
$E_{\mathtt{H}}$	s 2S, H	0			

<sup>a</sup> Ref. 12. <sup>b</sup> See text. <sup>c</sup> W. Kolos and C. C. J. Roothaan, *Rev. Mod. Phys.*, **32**, 219 (1960).

All energies given in Table I are accepted observed values<sup>12</sup> except for the excited  $2\pi$  state of OH. It is highly probable that this state is repulsive: (1) according to simple valence-bond theory, it may be described as a "no-bond" structure  $k^2s^2\pi_+^2(\pi\cdot z)h$ in resonance with a structure containing a  $\sigma-\pi$  bond,  $k^2s^2\pi_+^2z(\pi\cdot h)$ , which would be repulsive since the exchange integral  $K(h,\pi)$  is positive<sup>13</sup>; (2) according to simple molecular orbital theory, this state arises from the excited configuration  $k^2(2s\sigma)^2(2p\pi)(2p\pi)^3(3s\sigma)$ , which also yields a  $4\pi$  state predicted by Mulliken<sup>1</sup> to be repulsive.<sup>14</sup> The O-H distance is taken equal to the equilibrium internuclear distance in ground-state OH; the H-H distance is appropriate to right-angled H<sub>2</sub>O.

(14) R. S. Mulliken, Rev. Mod. Phys., 4, 1 (1932).

Expressions for the matrix elements  $H_{nm}{}^{PQ}$  are obtained readily using eq. 39. The  $S_{nm}$  can be evaluated using the orbital descriptions of  $\psi_1$  and  $\psi_2$ 

$$S_{nm} = \alpha_1 + \alpha_2 S_B{}^2 + \alpha_3 S_N{}^2 + \alpha_4 S_H{}^2 + \alpha_5 S_N{}^2 S_H + \alpha_5 S_N{}^2 S_B{}^2 + \alpha_7 S_B{}^4 + \alpha_5 S_N{}^4 \quad (40)$$

where  $S_{\rm B} = \int yh_2 dv = 0.3479$ ,  $S_{\rm N} = \int sh_2 dv = 0.4946$   $S_{\rm H} = \int h_1 h_2 dv = 0.4440$ . The coefficients  $\alpha_i$  for  $S_{11}$  are 1, 2, -2,  $-\frac{1}{2}$ , 1, -2, 1, and 1; for  $S_{12}$  are  $\frac{1}{2}$ , 1, -1,  $\frac{1}{2}$ , -1, -1,  $\frac{1}{2}$ , and 1; and for  $S_{22}$  are 1, -1, -2, 1, -2, 1, 1, and 2. The diatomics-in-molecules theory can be applied either with overlap or without overlap. In the latter case, all determinantal functions appearing in eq. 35 and 36 are orthonormal, and  $S_{11} = S_{22} = 1$ and  $S_{12} = S_{21} = \frac{1}{2}$ .

**Results.**—The energy of right-angled H<sub>2</sub>O (relative to ground-state 2H + 0) was calculated *without overlap* using  $E_{\text{OH}}^* = 0$ , 20, 45, and 60 kcal.; the results were -237.0, -233.6, -230.6, and -229.4 kcal. These may be compared with -231.6 kcal. obtained by subtracting 0.4 kcal. (the approximate energy needed to bend H<sub>2</sub>O from 105 to 90°) from the observed  $\Delta E_{\text{at}} = 232$  kcal.<sup>15</sup> The results of the calculation using  $E_{\text{OH}}^* = 45$  kcal. are employed in all considerations which follow.<sup>16</sup>

Including all overlap in evaluating eq. 40, the energy of right-angled H<sub>2</sub>O was calculated to be -230.9 kcal., which is in remarkable agreement with the value obtained without overlap. The optimum coefficients in the resulting normalized wave function

$$\Psi = a_1 \Psi_1 + a_2 \Psi_2 \tag{41}$$

turn out to be  $a_1 = 0.9447$  and  $a_2 = 0.3498$  with overlap,  $a_1 = 0.8513$  and  $a_2 = 0.2500$  without overlap. The structure projections or occupation numbers<sup>17</sup>

$$\nu_{n} = a_{n} \sum_{m} a_{m} S_{nm} \qquad (42)$$

are  $\nu_1 = 0.8013$  and  $\nu_2 = 0.1988$  with overlap,  $\nu_1 = 0.8311$  and  $\nu_2 = 0.1689$  without overlap.

The bond energies  $B_{\text{OH}}$  and  $B_{\text{HH}}$  and the promotional energy  $P_0$  of the oxygen atom in H<sub>2</sub>O can be computed for the individual structures  $\Psi_1$  and  $\Psi_2$  as well as for the resonance hybrid  $\Psi$  according to definitions given above. Results are displayed in Table II for the complete treatment and for the calculation in which overlap is neglected.

#### Table II

Bond and Promotional Energies (Kcal.) for Right-Angled  $H_2O$  Structures<sup>a</sup> and for OH

Structure	BOH	$B_{\rm HH}$	$P_0$	$\Delta E_{\mathrm{at}}$
ОН	118		11	107
$\Psi_1(H_2O)$	119.1	-5.3	12.1	220.8
	(118.3)	(-7.0)	(11.3)	(218.3)
$\Psi_2(H_2O)$	57.5	<b>56</b> .0	45.0	126.1
	(38.0)	(56.0)	(45.0)	(87.0)
$\Psi(H_2O)$	120.4	11.2	21.0	230.9
	(120.4)	(10, 3)	(20, 5)	(230.6)

 $^{a}$  Numbers enclosed in parentheses are results obtained when overlap is neglected.

The bearing of promotional energies upon the significance of bond energies is well known.<sup>18</sup> Some time

(15) Experimental energies of atomization for H<sub>2</sub>O and OH are 218.9<sup>12</sup> and 101.3 kcal. [P. A. Giguera, J. Chem. Phys., **30**, 322 (1959)], respectively. Zero-point energies are 13.1 (ref. 12, Vol. 2) and 5.3 kcal.,<sup>12</sup> respectively.

(16) Since the excited  ${}^2\pi$  state of OH is probably repulsive, and since it correlates with the ground state of H plus the excited p<sup>4</sup>  ${}^2D$  state of O,  $E_{OH}*$  probably exceeds 45 kcal. Thus, our calculated energy of H<sub>2</sub>O should be several kcal. higher than -230.6.

(17) A. C. Hurley, Proc. Phys. Soc. (London), A69, 49 (1946).

(18) L. Pauling, *Proc. Natl. Acad. Sci. U. S.*, **35**, 229 (1949); for the most recent and exacting analysis, see K. Ruedenberg, *Rev. Mod. Phys.*, **34**, 326 (1962).

<sup>(12)</sup> G. Herzberg, "Molecular Spectra and Molecular Structure," Vol. I. D. Van Nostrand Co., Inc., New York, N. Y., 1950.

<sup>(13)</sup> R. S. Mulliken, J. Phys. Chem., 56, 295 (1952).

ago, Moffitt<sup>19</sup> pointed out that the 18-kcal. discrepancy between  $\Delta E_{\rm at}({\rm H_2O})$  and  $2\Delta E_{\rm at}({\rm OH})$  is reduced if one properly accounts for promotional energies. First it was assumed that the energy of atomization can be separated into bond energies and promotional energies

$$\Delta E_{\rm at}({\rm H_2O}) = 232 \text{ kcal.} = 2B_{\rm OH} - P_{\rm HOH} \qquad (43)$$
$$\Delta E_{\rm at}({\rm OH}) = 107 \text{ kcal.} = B_{\rm OH} - P_{\rm OH}$$

But  $P_{\text{HOH}} = P_{\text{OH}} = 11$  kcal. for the simple valencebond structures  $\Psi_1$  and  ${\Psi_1}^{\text{OH}}$  (eq. 35 and 38). Therefore

$$\Delta E_{at}(H_2O) = 2\Delta E_{at}(OH) + P_{OH}$$
  
= 214 kcal. + 11 kcal. = 225 kcal. (44)

The 7-kcal. difference remaining is then attributed to hybridization, ionic-covalent resonance, H-H interaction, etc.

(19) W. Moffitt, Rept. Progr. Phys., 17, 173 (1959).

The diatomics-in-molecules theory leads to a quantitative explanation for the difference in atomization energies of  $H_2O$  and OH. It is seen that an important factor is the H–H interaction, which in fact contributes 11 kcal. to the binding in  $H_2O$ . The promotional energy for the oxygen atom is found to be almost twice as large in right-angled  $H_2O$  as in OH.

Questions relating to hybridization and/or ionic character cannot be answered by the present treatment. Addition of canonical *ionic* structures for  $H_2O$ to the starting set, necessitating valence-bond representations of OH ground and excited states more refined than eq. 38, would lead to explanations in terms of relative ionic characters. In any case, more detailed OH molecule descriptions will be necessary before the energy of  $H_2O$  can be determined by diatomics-inmolecules theory as a function of bond angle. Work is now in progress along these lines.

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# A Method of Diatomics in Molecules. II. $H_3$ and $H_3^{+1}$

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The diatomics-in-molecules theory described in the preceding paper<sup>2</sup> is used to calculate the potential energy surfaces for  $H_3$  and  $H_3^+$ . The energy of atomization  $\Delta E_{\rm st}$  of linear symmetrical ( $R_{\rm HH} = 1.8$  bohrs)  $H_3$  is calculated to be 96.60 kcal., which corresponds to a classical activation energy of 13 kcal. (experimental, ~7.7 kcal.). The molecule-ion  $H_3^+$  is found to be most stable as an equilateral triangle,  $\Delta E_{\rm at} = 223.8$  kcal. The totally symmetrical  $A_1'$  and doubly degenerate  $E_1'$  vibrational wave numbers are determined to be 3450 and 2330 cm.<sup>-1</sup>, respectively. The zero-point energy is thus 11.5 kcal. and  $\Delta E_{\rm at}^\circ = 212.3$  kcal. The reaction  $H_2 + H_2^+ = H_3^+ + H$  is calculated to be exothermic by 48 kcal.; it is speculated that this result, as well as the atomization energies, may be about 10 kcal. too high.

#### Introduction

In the preceding paper (hereafter referred to as paper I),<sup>2</sup> there was proposed an approximate theory designed primarily to predict molecular stabilities and to provide understanding of the deviations from strict additivity of bond energies. In this paper we use the theory to calculate the potential energy surfaces for  $H_3$  and  $H_3^+$ , as well as the vibrational structure of the latter molecule-ion.

## The H<sub>3</sub> Molecule

**Theory**.—We begin with the conventional valencebond structures for  $H_{3}$ , which may be written A-B C and A B-C, the associated wave functions being

$$\Psi_1 = |a\bar{b}c| - |\bar{a}bc|$$
  

$$\Psi_2 = |a\bar{b}c| - |ab\bar{c}| \qquad (1)$$

The *a*, *b*, and *c* represent 1s orbitals located on the three centers; a bar over the orbital denotes  $\beta$ -spin, no bar means  $\alpha$ -spin. The wave functions are not normalized, even for infinite separation of the nuclei; experience has shown us that diatomics-in-molecules theory is easier to execute if functions are left nonnormalized.

The simplest valence-bond wave functions for  $H_2$  are utilized; for example

$$\begin{split} \Psi_{1}{}^{AB} &= |a\bar{b}| - |\bar{a}b| \\ \Psi_{1}{}^{AB} &= |a\bar{b}| + |\bar{a}b| \\ \Psi_{2}{}^{AB} &= |a\bar{b}|, \Psi_{4}{}^{AB} = |\bar{a}\bar{b}| \end{split}$$
(2)

These represent the ground  ${}^1\Sigma_g{}^+$  state and excited  ${}^3\Sigma_u{}^+$  states, respectively; analogous expressions for  $\Psi_s{}^{BC}$  and  $\Psi_s{}^{AC}$  are also needed.

The diatomics-in-molecules theory will be illustrated by evaluating two of the necessary integrals,  $H_{12}^{AB}$ and  $H_{22}^{AB}$ , where  $H_{\rm nm}{}^{\rm PQ} = \int \Psi_{\rm n} A_{\rm PQ}{}^{\rm (PQ)} H_{\rm PQ} A_{\rm PQ} A_{\rm (PQ)} \psi_{\rm n} d\tau \qquad (3)$ 

First, we may write

$$\psi_{2} = a_{1}\bar{b}_{2}c_{3} - a_{1}b_{2}\bar{c}_{3}$$

$$A_{AB}A_{(AB)}\psi_{2} = |a\bar{b}|c - |ab|c \qquad (4)$$

Employing the inverse of eq. 2, we find that

$$A_{AB}A_{(AB)}\psi_2 = {}^{1}/{}_{2}(\Psi_1{}^{AB} + \Psi_2{}^{AB})c - \Psi_3{}^{AB}c$$
(5)

Application of the diatomic hamiltonian 
$$H_{AB}$$
 yields  
 $H_{AB}A_{AB}A_{(AB)}\psi_2 = [E_1{}^{AB}\Psi_1{}^{AB}c + E_2{}^{AB}(\Psi_2{}^{AB}c - 2\Psi_3{}^{AB}\bar{c})]/2$ 

(6)

where  $E_1^{AB}$  and  $E_2^{AB}$  are the ground singlet and excited triplet eigenvalues for H<sub>2</sub>, the internuclear distance of which corresponds to the distance  $R_{AB}$  in H<sub>3</sub>. We now use eq. 2 to transform back again to the determinantal function basis, and then apply the supplementary antisymmetrizer  $A_{AB}^{(AB)}$ 

$$\begin{aligned} \mathfrak{K}_{AB}\psi_{2} &= \left[E_{1}^{AB}(\left|a\tilde{b}c\right| - \left|\tilde{a}bc\right|\right) + \\ & E_{2}^{AB}(\left|a\tilde{b}c\right| + \left|\tilde{a}bc\right| - 2\left|ab\tilde{c}\right|\right)\right]/2 \quad (7) \end{aligned}$$

where  $\Re_{AB} = A_{AB}^{(AB)} H_{AB} A_{AB} A_{(AB)}$ . Introducing eq. 1 gives

$$\Re_{AB}\psi_2 = [E_1^{AB}\Psi_1 + E_2^{AB}(2\Psi_2 - \Psi_1)]/2$$
(8)

from which we find that

$$H_{12}^{AB} = [E_1^{AB}S_{11} + E_2^{AB}(2S_{12} - S_{11})]/2$$
  

$$H_{22}^{AB} = [E_1^{AB}S_{21} + E_2^{AB}(2S_{22} - S_{21})]/2$$
(9)

where  $S_{nm} = \int \Psi_n \Psi_m d\tau$ .

Similarly it can be shown that

 $\begin{aligned} &\Re_{AB}\psi_{1} = E_{1}{}^{AB}\Psi_{1} \\ &\Re_{AC}\psi_{1} = [E_{t}{}^{AC}(\Psi_{1} - \Psi_{2}) + E_{2}{}^{AC}(\Psi_{1} + \Psi_{2})]/2 \\ &\Re_{BC}\psi_{1} = [E_{1}{}^{BC}\Psi_{2} + E_{2}{}^{BC}(2\Psi_{1} - \Psi_{2})]/2 \\ &\Re_{AC}\psi_{2} = [E_{1}{}^{AC}(\Psi_{2} - \Psi_{1}) + E_{2}{}^{AC}(\Psi_{1} + \Psi_{2})]/2 \\ &\Re_{BC}\psi_{2} = E_{1}{}^{BC}\Psi_{2} \\ &\Re_{A}\psi_{n} = \Re_{B}\psi_{n} = \Re_{C}\psi_{n} = E_{H}\Psi_{n} \end{aligned}$ (10)

 <sup>(1)</sup> Supported in part by a grant from the National Science Foundation.
 (2) F. O. Ellison, J. Am. Chem. Soc., 85, 3540 (1963).